


## Comparing Pedicle and Free Flaps for Reconstruction of Defects in Head and Neck Neoplasm: An Assay for Quality of Life

Mehrdad Jafari<sup>1</sup>, Maziar Motiee<sup>1</sup>, Seyed Taghi Heydari<sup>2</sup>, Ebrahim Karimi<sup>1</sup>, Hadi Sharouny<sup>1,\*</sup>

1 Otorhinolaryngology Research Center, Tehran University of Medical Sciences, Tehran, IR Iran

2 Health Policy Research Center, Shiraz University of Medical Sciences, Shiraz, Iran

\*Corresponding author: Hadi Sharouny, Otorhinolaryngology Research Center, Tehran University of Medical Sciences, Tehran, IR Iran

 Tel: +98-2166581628

 E-mail: [hadi.sharouny@iran.ir](mailto:hadi.sharouny@iran.ir)

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## Abstract:

**Introduction:** Reconstruction surgery for head and neck cancers restore patients' function and appearance. Careful selection of flap for reconstruction of a defect after an ablative surgery can be a complex process and may affect on quality of life (QOL) of the patients. This study aimed to compare the quality of life between free and pedicle flap reconstruction groups in head and neck neoplasm patients.

**Methods:** This was a cross-sectional study of QOL in patients with head and neck neoplasm that attend follow-up clinics from July to September 2019. All patients that underwent reconstructive surgery with either pedicle or free flaps were included in the study. At least 6 month-time was elapsed from the reconstructive surgery. QOL of the patients was evaluated using Medical Outcomes Study Short Form (MOS SF-36) questionnaire. The patients' demographic data and medical history were collected using electronic patients' records.

**Results:** Seventy patients completed the questionnaire. Forty one (58.57%) patients underwent pedicle and 29 (41.43%) patients free flap reconstructive surgery. There was no significant difference between pedicle and free flap groups with regard to age, gender, radiotherapy or chemotherapy ( $P>0.05$ ).

The present study indicates that there was no statistically significant difference between pedicle and free flap groups with regard to 8 domains of SF-36 questionnaire ( $P>0.05$ ), neither was significant difference between two groups with regard to the physical or mental component summaries ( $P>0.05$ ).

**Conclusion:** The present study on quality of life of head and neck neoplasm patients that had undergone pedicle or free flaps showed no significant difference between two groups.

### Keywords:

Free Tissue Flaps; Surgical Flaps; Quality of Life; Head and Neck Neoplasms

## **Introduction:**

Reconstructive surgery has substantially evolved in the last fifty years, along with the trend of using either free microvascular or pedicle flaps following ablative surgery for head and neck cancers. In 1963, the extended lateral forehead flap was introduced by Ian McGregor. Being the first reliable transposition flap, this was a turning point in reconstructive surgery (1). Subsequently, Ariyan introduced the pectoralis major myocutaneous flap (PMMF) in 1979 (2). The PMMF became the workhorse flap for reconstruction of head and neck defects in several medical centers and extensive research was conducted on the flap. Nevertheless, genuine concerns were raised regarding the reliability of PMMF for some defects. Step by step, free flaps (FF) and other regional pedicle flaps (PF), such as the submental island flap (SMIF) and the supraclavicular flap (SCAIF) were introduced as new options for reconstruction of defects.

The advent of microvascular surgery in 1970s has drastically changed the head and neck reconstruction surgery. The first successful free flap transfer in a human was performed by Taylor and Daniel in 1973 (3). FF reconstruction gradually achieved widespread popularity in managing large head and neck defects.

Flap selection is a highly complex process. The advantages and disadvantages of FF or PF are key

issues in choosing the best option for reconstruction of a head and neck defect (4). In addition, the nature of the disease, patients' pre-operative conditions, and the available resources are of considerable importance in choosing entirely appropriate reconstructive option. Preferring one type of flap to the other to obtain the most satisfactory outcomes is still a controversial decision and a source of considerable debate in the literature.

A proper evaluation of the quality of life (QOL) gives valuable information regarding the physical, and the psychosocial well-being of patients and the substantial effects of the disease and its treatment on their health. Using different types of flaps for reconstruction of ablative defects of head and neck neoplasms always raise several important questions regarding key aspects of QOL. The impact of either microvascular flap or PF reconstruction techniques on patients' QOL, make it possible to select an informed choice for clinicians in the future. The present study aimed to compare the QOL between free and pedicle flap reconstruction groups in head and neck neoplasm patients.

## **Patients and methods:**

This was a cross-sectional study of quality of life in patients with head and neck neoplasm attended to head and neck surgery follow-up clinics at Imam Khomeini Hospital Complex and Amir-Alam

Hospital, affiliated to Tehran University of Medical Science from July to September 2019. All patients with head and neck neoplasm who underwent reconstructive surgery with either pedicle or free flaps were included in the study. At least 6 month-time was passed from the reconstructive surgery. Patients have not had any recurrence or distant metastasis thus far. They did not have any other systemic disease or malignancy. The patients' demographic data and medical history were collected using electronic patients' records.

Quality of Life Questionnaire (QOL) is a written set of questions which is given to a large number of people in order to measure the quality of an individual's life across a wide range of particular areas of physical well-being and mental health. Quality of life of (QOL) the patients in the current study was evaluated using Study Short Form Health Survey (SF-36) questionnaire.

The SF-36 QOL questionnaire is comprised of 36 questions that fall into eight health domains: physical functioning (PF) includes 10 questions, role limitations due to physical health (RP) includes 4 questions, bodily pain (BP) includes 2 questions, role limitations due to emotional problems (RE) includes 3 questions, energy/fatigue (E/F) or vitality (VT) includes 4 questions, Emotional well-being (EW) or mental health (MH) includes 5 questions, social functioning (SF) includes 2 questions, and general health (GH)

includes 5 questions. Scores range from 0 (worst) to 100 (best) (5).

There are two clearly different concepts assessed by the SF-36: the Physical Component Summary (PCS), and the Mental Component Summary (MCS) (5). PCS is composed of four domains: PF, RP, BP, and GH. MCS includes the VT, SF, RE, and EW domains (5). The Persian version of SF-36 questionnaire has been validated for Iranian population (6).

Each question was scored separately according to the SF-36 questionnaire guidelines. Subsequently, each domain, PCS and MCS calculated according to SF-36 questionnaire guidelines, too.

Data analysis was performed in SPSS 21 using Student's T and Chi-Square tests. P-values of less than 0.05 were considered statistically significant. The research protocol was approved by Tehran University of Medical Sciences Ethics Committee (ethics committee registration number: IR.TUMS.MEDICINE.REC.1399.093). All patients completed written informed consent before filling out the questionnaire.

### **Results:**

Two hundred patients with head and neck neoplasm that underwent reconstructive surgery with either pedicle or free flap had been asked to fill out the questionnaire. Among them, 70 patients

completed the questionnaire. Forty one (58.57%) patients underwent pedicle flap reconstructive surgery and 29 (41.43%) patients free flap. Median age of the patients was 53.63 years (Range 18 - 82).

There was no statistically significant difference between pedicle and free flap groups with regard to age, gender, radiotherapy or chemotherapy (Table 1).

**Table 1. Clinical Data Analyses of Head and Neck Neoplasm Patients who Underwent Pedicle and Free Flaps Reconstruction**

| Variables           | Total no. of patients (%) | No. of patients (%) |             | P Value |
|---------------------|---------------------------|---------------------|-------------|---------|
|                     |                           | Pedicle Flaps       | Free Flaps  |         |
| <b>Age</b>          |                           | 56.68±14.71         | 47.24±15.54 | 0.012   |
| <50 years           | 26 (37.14%)               | 12 (29.27%)         | 14 (48.28%) | 0.105   |
| >50 years           | 44 (62.86%)               | 29 (70.73%)         | 15 (51.72%) |         |
| <b>Gender</b>       |                           |                     |             |         |
| Male                | 41 (58.57)                | 27 (65.85%)         | 14 (48.28%) | 0.141   |
| Female              | 29 (41.43%)               | 14 (34.15%)         | 15 (51.72%) |         |
| <b>Radiotherapy</b> | 54 (77.14%)               | 33 (61.11%)         | 21 (38.89%) | 0.428   |
| <b>Chemotherapy</b> | 27 (38.57%)               | 15 (55.56%)         | 12 (44.46%) | 0.685   |

The most common pathology was squamous cell carcinoma. The most common pedicle and free flap used for reconstruction were pectoralis major myocutaneous and fibula free flap respectively.

There was no statistically significant difference between pedicle and free flaps with regard to 8 domains of SF-36 questionnaire (Table 2).

**Table 2. The 8 Medical Outcomes Study Short Form (MOS SF-36) Questionnaire Domains**

| Domains                                    | Pedicle Flaps (N =41)    | Free Flaps (N =29)       | P Value |
|--|--------------------------|--------------------------|---------|
|  | Mean± Standard Deviation | Mean± Standard Deviation |         |
| Physical functioning                       | 70.36±27.09              | 75.51±25.88              | 0.428   |
| Role limitations due to physical health    | 59.75±42.52              | 68.10±36.53              | 0.395   |
| Role limitations due to emotional problems | 71.54±40.52              | 68.96±36.65              | 0.786   |
| Energy/Fatigue                             | 64.87±25.13              | 62.24±24.76              | 0.665   |
| Emotional well-being                       | 68.09±23.05              | 64.00±24.84              | 0.481   |
| Social functioning                         | 64.93±31.52              | 62.06±32.47              | 0.712   |
| Pain                                       | 67.13±31.92              | 73.36±27.10              | 0.396   |
| General health                             | 57.80±22.61              | 60.51±23.35              | 0.627   |

Comparison of the physical and mental component summaries between pedicle and free flaps indicated no significant difference either (Table 3).

**Table 3. The Physical and Mental Component Summaries**

| Scales                     | Pedicle Flaps (No. of patients =41) | Free Flaps (No. of patients =29) | P Value |
|----------------------------|-------------------------------------|----------------------------------|---------|
|                            | Mean± Standard Deviation            | Mean± Standard Deviation         |         |
| Physical Component Summary | 255.06±108.21                       | 277.50±99.93                     | 0.381   |
| Mental Component Summary   | 269.45±109.74                       | 257.27±104.06                    | 0.642   |

## Discussion:

The field of head and neck reconstruction surgery has substantially improved over the past decades, although it is still a subject of considerable controversy. The primary goal of reconstructive surgery is to restore physical appearance and bodily function to preserve patient's quality of life (QOL).

Several different types of reconstructive options are available such as skin grafts, pedicle and microvascular flaps, etc. Choosing between free and pedicle flap is a complex process. There are some deciding factors to select choice between pedicle and free flap for reconstruction. QOL

assessment in head neck cancer patients can provide valuable information to evaluate treatment options.

Several studies have been conducted comparing QOL between patients reconstructed with pedicle and free flaps (Table 4). The validated questionnaires used in previous studies were University of Washington Quality of Life Questionnaire (UW-QOL), 36- Item Short Form Survey (SF-36), European Organization for Research and Treatment of Cancer Quality of Life Questionnaire-Core 30-questions (EORTC QLQ-C30), and Quality of Life Questionnaire-Head and Neck 35-questions (QLQ-H&N35) (Table 4).

**Table 4. Summary of Previous Studies Comparing Quality of Life between Pedicle and Free Flaps in Reconstruction of Defects in Head and Neck Cancer Patients**

| Study (Year)            | No. | Primary tumor        | Study groups |           | Questionnaire     | Results  |
|-------------------------|-----|----------------------|--------------|-----------|-------------------|--|
| O'Neill et al(7) (2010) | 114 | Head and neck cancer | PMMF         | RFFF      | -                 | The group reconstructed with RFFF had better quality of speech.  |
| Hsing et al(8) (2011)   | 100 | Oral cavity cancer   | PMMF         | Free flap | UW-QOL            | The group reconstructed with free flap had better speech, shoulder, and mood domains.                    |
| Xiao et al(9) (2013)    | 81  | Oral cavity cancer   | PMMF         | ALTFF     | MOS SF-36, UW-QOL | The group reconstructed with ALTFF had better appearance, and shoulder domains and role emotion domains. |

| Study (Year)             | No. | Primary tumor                         | Study groups |            | Questionnaire            | Results   |
|--------------------------|-----|---------------------------------------|--------------|------------|--------------------------|---|
| Zhang et al(10) (2014)   | 86  | Head and neck cancer                  | PMMF         | ALTFF      | UW-QOL                   | The group reconstructed with ALTFF had better shoulder but worse speech functions.                            |
| Zhang et al(11) (2015)   | 27  | Tongue cancer                         | SCAIF        | RFFF       | -                        | There was no statistical significant difference in quality of speech between two groups.                      |
| Li et al(12) (2015)      | 56  | Clinical T1-2 tongue carcinoma        | IHF          | RFFF       | UW-QOL                   | The group reconstructed with IHF had significantly better shoulder function.                                  |
| Li et al(13) (2016)      | 41  | Tongue cancer                         | PMMF         | RFFF       | OHIP-14, UW-QOL          | The group reconstructed with RFFF had better shoulder domains, but worse appearance domains.                  |
| Spiegel et al(14) (2019) | 24  | SCC of the oral cavity and oropharynx | SCAIF        | RFFF       | EORTC QLQ-C30, QLQ-H&N35 | The group reconstructed with SCAIF had better speech domains and less problems with the senses.               |
| Meier et al(15) (2019)   | 86  | Oral SCC                              | LR           | Free flaps | UW-QOL                   | The physical domains: swallowing, chewing, speech, taste, and pain were significantly better in the LR group. |
| Zhang et al(16) (2020)   | 83  | SCC of tongue                         | SMIF         | RFFF       | UW-QOL                   | The RFFF reconstruction group had higher scores in the domains of activity and recreation.                    |

SCC: squamous cell carcinoma; PMMF: pectoralis major myocutaneous flap; ALTFF: anterolateral thigh free flap; RFFF: radial forearm free flap; SCAIF: supraclavicular artery island flap; SMIF: submental island flap; IHF: infrahyoid myocutaneous flap; LR: local reconstruction; UW-QOL: University of Washington Quality of Life Questionnaire; MOS SF-36: Medical Outcomes Study-Short Form-36; OHIP-14: 14-item Oral Health Impact Profile; EORTC: European Organization for Research and Treatment of Cancer questionnaires; QLQ-C30: Quality of Life Questionnaire-Core 30-questions; QLQ-H&N35: Quality of Life Questionnaire-Core 30 Head and Neck 35-questions

UW-QOL was the most popular questionnaire that has been used in previous studies (8, 9, 10, 12, 13, 15, 16). Validated Persian version of UW-QOL is not yet available. EORTC QLQ-C30 and QLQ-H&N35 questionnaires were used together

in one of previous studies (14). Persian version of QLQ-C30 questionnaire has been validated (17), but validated Persian version of QLQ-H&N35 is not yet available. The SF-36 questionnaire has been used in one study thus far (9).



Three studies using UW-QOL questionnaire, microvascular and PMMF scored similarly on global quality of life (8, 10, 13). The other 4 studies that compared pedicle with free flap patients using the same questionnaire did not indicate anything about global QOL (9, 12, 15, 16). Only one study indicates health related quality of life (HRQOL) was better in the local reconstruction group (15), although with the addition of mood and anxiety domains, using an overall composite score is not recommended anymore (18).

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although with the addition of mood and anxiety domains, using an overall composite score is not recommended anymore (18).

A number of generic multidimensional HRQOL questionnaires are available such as Sickness Impact Profile 68 (SIP-68), and Medical Outcome Study Short Form 36 (SF-36) (19). Generic questionnaires are utilized to evaluate general well-being, and not QOL in a specific disease. SF-36 is used as a generic questionnaire in current study, because it is the most broadly used HRQOL questionnaire and its Persian version was validated too (6, 20).

A study by Xiao et al on QOL in oral cancer patients that underwent reconstructive surgery, used SF-36 questionnaire (9). The study revealed that there was no significant difference between the PMMF and anterolateral thigh free flap (ALTFF) groups with regard to physical functioning, role physical, pain, general health, energy/fatigue, social functioning and emotional well-being. However, the ALTFF group scores were higher than PMMF group with regard to role emotion domain ( $70.40 \pm 13.09$  vs  $65.59 \pm 9.91$ ,  $p=0.001$ ) (9). The authors did not mention anything about the physical and mental component summaries (9).

The present study indicates that there was no statistically significant difference between pedicle and free flaps with regard to 8 domains of SF-36

questionnaire, neither was significant difference between two groups with regard to the physical or mental component summaries (Tables 2, 3).

The SF-36 Overall/ Global/Total Score, a global measure of HRQOL, has been growingly reported in the literature. Although several studies using the overall score were published in high-quality journals, its validity as a measure of total HRQOL has been questioned (21). Since the SF-36 total score is not a reliable measure, it is not calculated in the present study.

There are some shortcomings in the present study: First, although there are several head and neck cancer specific QOL questionnaires such as UW-QOL, QLQ-H&N35, QLQ-H&N43 (updated of QLQ-H&N35) (22), and Functional Assessment of Cancer Therapy-Head and Neck (FACT HN) (23), no validated Iranian versions were available. Second, the sample size was relatively small and may not have had sufficient power to find statistically significant results. Third, the study was not randomized.

### **Conclusions:**

The present study on quality of life of head and neck neoplasm patients that had undergone pedicle or free flaps showed no significant difference between two groups. Data from this study provide useful information for surgeons during their

decision-making for reconstruction modalities after head and neck ablative surgeries.

Questionnaires specific to QOL in head and neck cancer patients might provide more useful and relevant information regarding each post-operative physical and mental features such as appearance, swallowing, anxiety, etc. The detailed information could be invaluable for selecting better choice between reconstruction flaps.

### **Authors' Contributions:**

MJ and HS designed the study and drafted the manuscript. MM, STH and EK helped in manuscript drafting and analysis as well as acquisition of data. All authors have approved the final version of manuscript.

### **Conflict of Interest Disclosures:**

There are no conflicts of interest in terms of the present manuscript.

### **Ethical approval/Consideration:**

The research protocol was approved by Tehran University of Medical Sciences Ethics Committee (Ref. No: IR.TUMS.MEDICINE.REC.1399.093).

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